



UNIVERSITY OF
GOTHENBURG

Lock-free programming

Lecture 11 of TDA384/DIT391

Principles of Concurrent Programming

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Based on course slides by Carlo A. Furia and Sandro Stucki

Today's menu

Parallel linked queues

Software transactional memory

Synchronization costs

A number of factors **challenge** designing correct and efficient **parallelizations**:

- sequential dependencies
- synchronization costs
- spawning costs
- error proneness and composability

Synchronization costs

A number of factors **challenge** designing correct and efficient **parallelizations**:

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- synchronization costs
- spawning costs
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In **this class**, we present:

- a **lock-free queue** data structure, which involves minimal synchronization costs (in particular, it uses no locking)
- **software transactional memory**, which supports composability in lock-free programming

Parallel linked queues

Parallel linked queue

We present another example of **lock-free** data structure: an implementation of a **linked queue** that support **parallel access**.

A queue data structure offers obvious opportunities for **parallelization** – because insertion and removal of nodes occurs at two opposite ends of a linked structure. At the same time, it requires to **carefully consider** the interleaving of operations, and to take measures to **prevent** modifications that lead to **inconsistent** states.

We will use regular Java syntax, without emphasizing opportunities for object-oriented abstraction and encapsulation, so as to have a different presentation style, complementary to the one adopted for linked sets.

The interface of a queue

We use linked lists to implement a **lock-free queue** data structures with interface:

```
interface Queue<T>
{
    // add 'item' to back of queue
    void enqueue(T item);

    // remove and return item in front of the queue
    // raise EmptyException if queue is empty
    T dequeue() throws EmptyException;
}
```

Atomic references

To implement data structures that are correct under concurrent access without using any locks we need to rely on **synchronization primitives** more **powerful** than just reading and writing shared variables.

We are going to use a variant of the **compare-and-set** operation.

```
class AtomicReference<V> {  
  
    V get();                // current reference  
    void set(V newRef);    // set reference to newRef  
  
    // if reference == expectRef, set to newRef and return true  
    // otherwise, do not change reference and return false  
    boolean compareAndSet(V expectRef, V newRef);  
}
```

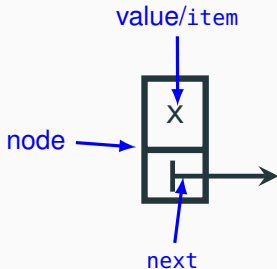

Nodes

The underlying implementations of queues use **singly-linked lists**, which are made of chains of nodes. Every **node**:

- stores an **item** – its **value**
- points to the **next** node in the chain

To build a lock-free implementation, `next` is a reference that supports compare-and-set operations (thus, need not be **volatile**).

```
class QNode<T>
{ // value of node
  T value;
  // next node in chain
  AtomicReference<QNode<T>> next;
  QNode(T value)
  { this.value = value;
    next = new AtomicReference<>(null); }
}
```



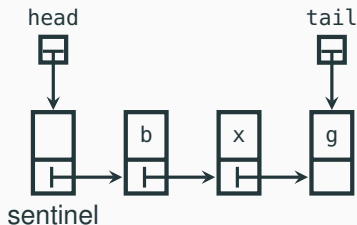
Queues as chains of nodes

A list with a pair of **head** and **tail** references implements a queue:

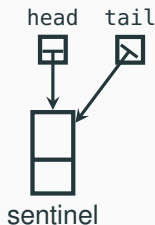
- a **sentinel** node points to the first element to be dequeued,
- the queue is empty iff the sentinel points to **null**,
- **head** points to the sentinel (**front** of queue),
- **tail** points to the latest enqueued element (**back** of queue), or the sentinel if the queue is empty.

The sentinel (also called “dummy node”) ensures that **head** and **tail** are never **null**.

A non-empty queue:



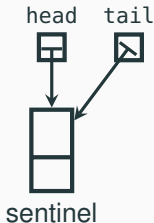
An empty queue:



Head, tail, and empty queue

```
class LockFreeQueue<T> implements Queue<T>
{
    // access to front and back of queue
    protected AtomicReference<QNode<T>> head, tail;

    // empty queue
    public LockFreeQueue() {
        // value of sentinel does not matter
        QNode<T> sentinel = new QNode<>();
        head = new AtomicReference<>(sentinel);
        tail = new AtomicReference<>(sentinel);
    }
}
```



Enqueue operation

The method `enqueue` adds a new node to the back of a queue – where `tail` points. It requires two updates that modify the linked structure:

1. `update last`: make the last node in the queue point to the new node,
2. `update tail`: make `tail` point to the new node.

Each update is individually atomic (it uses compare-and-set), but another thread may interfere between the two updates:

- `repeat update last` until success;
- try `update tail once`;
- the implementation should be able to deal with a “half finished” enqueue operation (tail not updated yet), and `finish the job` – this technique is called `helping`.

Method enqueue

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public void enqueue(T value) {  
    // new node to be enqueued  
    QNode<T> node = new QNode<>(value);  
    while (true) // nodes at back of queue  
    { QNode<T> last = tail.get();  
      QNode<T> nextToLast = last.next.get();  
      // if tail points to last  
      if (last == tail.get())  
      { // and if last really has no successor  
        if (nextToLast == null) {  
            // make last point to new node  
            if (last.next.compareAndSet(nextToLast, node))  
            // if last.next updated, try once to update tail  
            { tail.compareAndSet(last, node); return; }  
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    }  
}
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Method enqueue

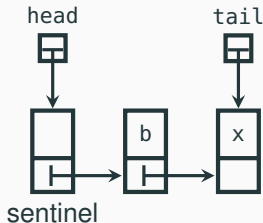
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fails only if another thread moves tail helps another thread move tail

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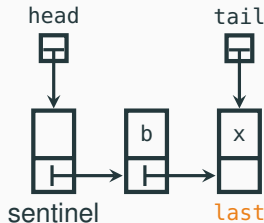


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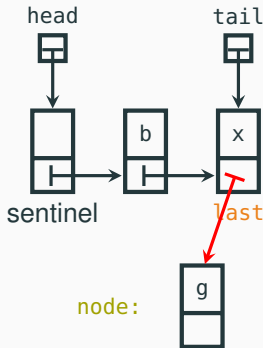


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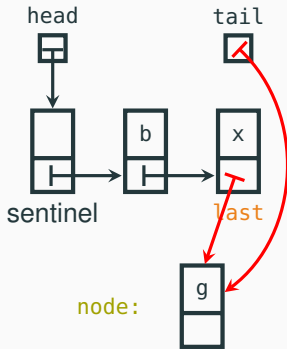


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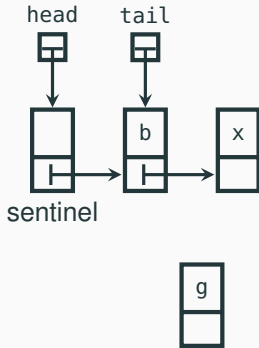


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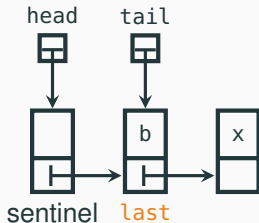


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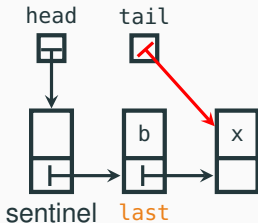


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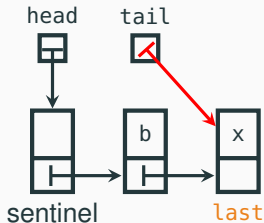


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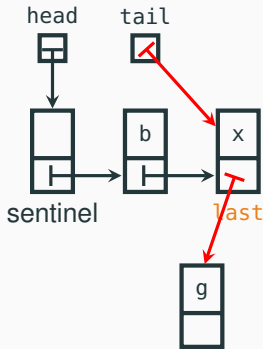


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Deque operation

The method `dequeue` removes the node at the head of a queue – where the `sentinel` points. Unlike `enqueue`, `dequeue` only requires one update to the linked structure:

1. `update head`: make head point the node previously pointed to by the sentinel; the same node becomes the new sentinel and is also returned.

The update is atomic (it uses compare-and-set), but other threads may be updating the head concurrently:

- `repeat update head` until success,
- if you detect a “half finished” `enqueue` operation – with the tail pointing to the sentinel about to be removed – `help` by moving the tail forward.

Method dequeue

```
public T dequeue() throws EmptyException {
    while (true) // nodes at front, back of queue
    { QNode<T> sentinel = head.get(), last = tail.get(),
        first = sentinel.next.get();
        if (sentinel == head.get()) // if head points to sentinel
        { // if tail also points to sentinel
            if (sentinel == last)
            { // empty queue: raise exception
                if (first == null)
                    throw new EmptyException();
                // non-empty: update tail, repeat
                tail.compareAndSet(last, first); }
            else // tail doesn't point to sentinel
            { T value = first.value;
                // make head point to first (new sentinel); retry until success
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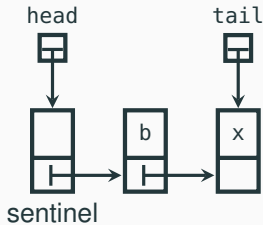
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must move head: no other thread can help

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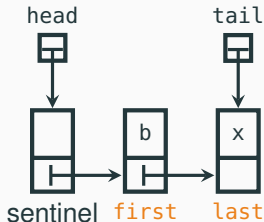


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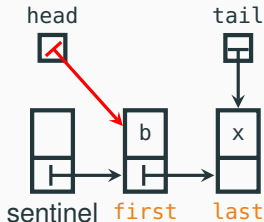


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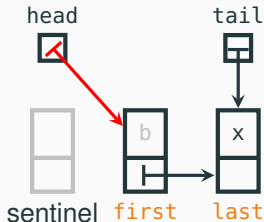


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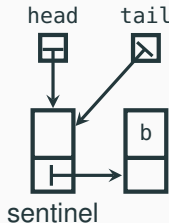
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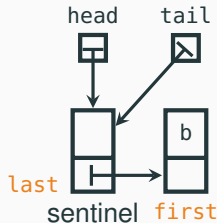


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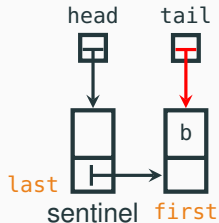


must move head: no other thread can help

Method dequeue

```
public T dequeue() throws EmptyException {
    while (true) // nodes at front, back of queue
    { QNode<T> sentinel = head.get(), last = tail.get(),
      first = sentinel.next.get();
      if (sentinel == head.get()) // if head points to sentinel
      { // if tail also points to sentinel
        if (sentinel == last)
        { // empty queue: raise exception
          if (first == null)
            throw new EmptyException();
          // non-empty: update tail, repeat
          tail.compareAndSet(last, first); }
        else // tail doesn't point to sentinel
        { T value = first.value; must help move tail before updating head
          // make head point to first (new sentinel); retry until success
          if (head.compareAndSet(sentinel, first)) return value; } } }
    }
```

If tail needs update:

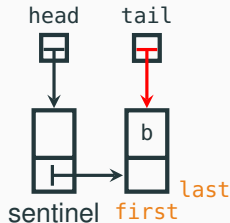


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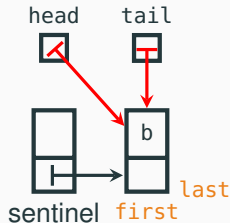


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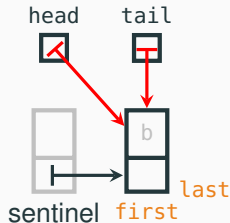


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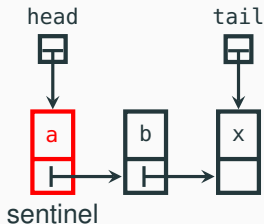
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must move head: no other thread can help

Garbage collection saves the day

If we were using a language **without garbage collection** – where objects can be recycled – the following **problem** could occur:

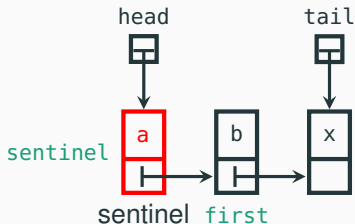


Garbage collection saves the day

If we were using a language **without garbage collection** – where objects can be recycled – the following **problem** could occur:

1. t is about to CAS head from sentinel node a to node b:

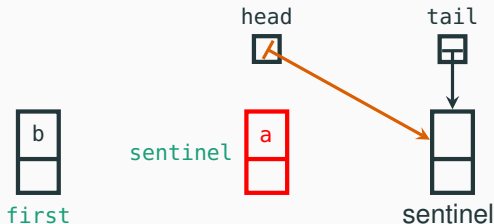
```
head.compareAndSet(sentinel, first)
```



Garbage collection saves the day

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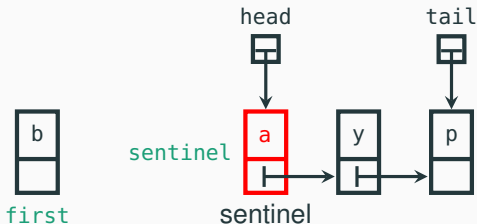
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`head.compareAndSet(sentinel, first)`
2. u dequeues b and x



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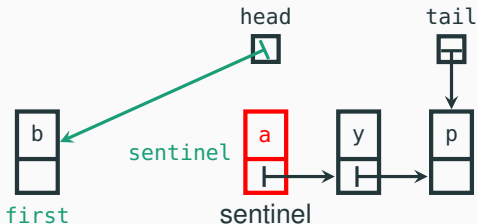
1. t is about to CAS head from sentinel node a to node b :
`head.compareAndSet(sentinel, first)`
2. u dequeues b and x
3. u enqueues a again (**the very same node**), enqueues y , enqueues p , and then dequeues a again, so that the same node a becomes the sentinel again



Garbage collection saves the day

If we were using a language **without garbage collection** – where objects can be recycled – the following **problem** could occur:

1. t is about to CAS head from sentinel node a to node b :
`head.compareAndSet(sentinel, first)`
2. u dequeues b and x
3. u enqueues a again (**the very same node**), enqueues y , enqueues p , and then dequeues a again, so that the same node a becomes the sentinel again
4. t completes CAS successfully (head still points to t 's local reference `sentinel`), but node b is now disconnected!



The ABA problem

The problem we have just seen is known as the **ABA problem**. It cannot occur in languages that, like Java, feature **automatic memory management (garbage collection)**.



Our LockFreeQueue implementation relies on garbage collection for **correctness**: a thread creates a **fresh node** (using **new**) whenever it enqueues a value, which is guaranteed to have a reference that was not in use before.

Software transactional memory

Transactions

The notion of transaction, which comes from database research, supports a general approach to lock-free programming:

A **transaction** is a **sequence** of steps executed by a single thread, which are executed **atomically**.

A transaction may:

- **succeed**: all changes made by the transaction are **committed** to shared memory; they appear as if they happened instantaneously
- **fail**: the partial changes are **rolled back**, and the shared memory is in the same state it would be if the transaction had never executed

Therefore, a transaction either executes completely and successfully, or it does not have any effect at all.

Programming with transactions

The notion of transaction supports a **general approach** to **lock-free** programming:

- define a transaction for every access to shared memory
- if the transaction succeeds, there was no interference
- if the transaction failed, **retry** until it succeeds

Imagine we have a syntactic means of defining **transaction code**:

```
atomic {                                % execute Function(Arguments)  
  // transaction code                 % as a transaction (retry until success)  
}                                          atomic(Function, Arguments)  
// retry until success
```

Transactions may also support invoking **retry** and **rollback** explicitly.

(Note that **atomic** is not a valid keyword in Java or Erlang: we use it for illustration purposes, and later we sketch how it could be implemented as a function in Erlang.)

Transactions are better than locks

Transactional atomic blocks look superficially similar to monitor's methods with implicit locking, but they are in fact much **more flexible**:

- since transactions do not lock, there is **no** locking **overhead**
- **parallelism** is achieved without risks of race conditions
- since no locks are acquired, there is **no** problem of **deadlocks** (although starvation may still occur if there is a lot of contention)
- transactions **compose** easily

```
class Account {  
    void deposit(int amount)  
        { atomic {  
            balance += amount; }}  
    void withdraw(int amount)  
        { atomic {  
            balance -= amount; }}  
}
```

```
class TransferAccount extends Account {  
    // transfer from 'this' to 'other'  
    void transfer(int amount,  
                  Account other)  
        { atomic {  
            this.withdraw(amount);  
            other.deposit(amount); }}  
}
```

no locking, so no deadlock is possible!

Transactional memory

A **transactional memory** is a shared memory storage that supports atomic updates of **multiple memory locations**.

Implementations of transactional memory can be based on hardware or software:

- **hardware** transactional memory relies on support at the level of instruction sets (Herlihy & Moss, 1993),
- **software** transactional memory is implemented as a library or language extension (Shavit & Touitou, 1995).

Software transactional memory implementations are available for several mainstream languages (including Java, Haskell, and Erlang). This is still an active research topic – quality varies!

Implementing software transactional memory

We outline an implementation of software transactional memory (STM) in Erlang.

Each variable in an STM is identified by a name, value, and **version**:

```
-record(var, {name, version = 0, value = undefined}).
```


Implementing software transactional memory

We outline an implementation of software transactional memory (STM) in Erlang.

Each variable in an STM is identified by a name, value, and **version**:

```
-record(var, {name, version = 0, value = undefined}).
```

Clients use an STM as follows:

- at the beginning of a transaction, **check out** a copy of all variables involved in the transaction;
- execute the transaction, which modifies the **values** of the **local** copies of the variables;
- at the end of a transaction, try to **commit** all local copies of the variables.

Implementing software transactional memory

We outline an implementation of software transactional memory (STM) in Erlang.

Each variable in an STM is identified by a name, value, and **version**:

```
-record(var, {name, version = 0, value = undefined}).
```

The STM's **commit** operation ensures atomicity:

- if all committed variables have the **same version number** as the corresponding variables in the STM, there were no changes to the memory during the transaction: the transaction **succeeds**;
- if some committed variable has a **different version number** from the corresponding variable in the STM, there was some change to the memory during the transaction: the transaction **fails**.

The counter example – with software transactional memory

```
int cnt;
```

thread t

```
int c;  
atomic {  
  c = cnt;  
  cnt = c + 1;  
}
```

thread u

```
int c;  
atomic {  
  c = cnt;  
  cnt = c + 1;  
}
```

The `atomic` translates into a `loop` that repeats `until` the transaction `succeeds`:

1. check out (`pull`) the current value of `cnt`
2. increment the local variable `c`
3. try to commit (`push`) the new value of `cnt`
4. if `cnt` has changed version when trying to commit, repeat the loop

The counter example: a successful run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt ● c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); ● c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|--------------|--------------|------------|
| $c_t: \perp$ | $c_u: \perp$ | cnt: 0_3 |

The counter example: a successful run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); • c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); • c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The subscript in a variable's value indicates its version:

| t'S LOCAL | u'S LOCAL | STM |
|------------|--------------|-------------------|
| $c_t: 0_3$ | $c_u: \perp$ | $\text{cnt}: 0_3$ |

The counter example: a successful run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; • while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); • c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|------------|--------------|-------------------|
| $c_t: 1_3$ | $c_u: \perp$ | $\text{cnt}: 0_3$ |

The counter example: a successful run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); ● c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|--------------|------------|
| success | $c_u: \perp$ | cnt: 1_4 |

The counter example: a successful run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

thread t

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c));  
  // commit cnt
```

thread u

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1; ●  
} while (!push(cnt, c));  
  // commit cnt
```

The subscript in a variable's value indicates its version:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|------------|------------|
| done | $c_u: 1_4$ | cnt: 1_4 |

The counter example: a successful run

`<name: cnt, version: X, value: y>`

thread t

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c));  
  // commit cnt
```

thread u

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c)); ●  
  // commit cnt
```

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|------------|------------|
| done | $c_u: 2_4$ | cnt: 1_4 |

The counter example: a successful run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|-----------|---------------------|
| done | success | cnt: 2 ₅ |

The counter example: a retry run

$\langle \text{name: cnt, version: } x, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt ● c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); ● c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The subscript in a variable's value indicates its version:

| t's LOCAL | u'S LOCAL | STM |
|--------------|--------------|-------------------|
| $c_t: \perp$ | $c_u: \perp$ | $\text{cnt}: 0_3$ |

The counter example: a retry run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); • c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); • c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

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| t'S LOCAL | u'S LOCAL | STM |
|------------|--------------|-------------------|
| $c_t: 0_3$ | $c_u: \perp$ | $\text{cnt}: 0_3$ |

The counter example: a retry run

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int c;  
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  // commit cnt
```

thread u

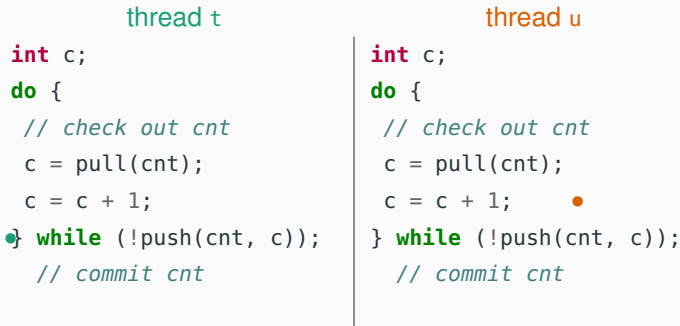
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  c = pull(cnt);  
  c = c + 1; ●  
} while (!push(cnt, c));  
  // commit cnt
```

The subscript in a variable's value indicates its version:

| t'S LOCAL | u'S LOCAL | STM |
|------------|------------|-------------------|
| $c_t: 0_3$ | $c_u: 0_3$ | $\text{cnt}: 0_3$ |

The counter example: a retry run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$



The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|------------|------------|-------------------|
| $c_t: 1_3$ | $c_u: 0_3$ | $\text{cnt}: 0_3$ |

The counter example: a retry run

`<name: cnt, version: X, value: y>`

thread t

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
  • while (!push(cnt, c));  
  // commit cnt
```

thread u

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c)); •  
// commit cnt
```

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|------------|------------|------------|
| $c_t: 1_3$ | $c_u: 1_3$ | $cnt: 0_3$ |

The counter example: a retry run

`<name: cnt, version: X, value: y>`

thread t

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c));  
  // commit cnt
```

thread u

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c)); ●  
  // commit cnt
```

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|------------|------------|
| success | $c_u: 1_3$ | cnt: 1_4 |

The counter example: a retry run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|-----------|---------------------|
| done | fail | cnt: 1 ₄ |

The counter example: a retry run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); ● c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|-----------|---------------------|
| done | retry | cnt: 1 ₄ |

The counter example: a retry run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); ● c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The subscript in a variable's value indicates its version:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|--------------|---------------------|
| done | $c_u: \perp$ | cnt: 1 ₄ |

The counter example: a retry run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The subscript in a variable's value indicates its version:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|------------|-------------------|
| done | $c_u: 1_4$ | $\text{cnt}: 1_4$ |

The counter example: a retry run

`<name: cnt, version: X, value: y>`

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); ● // commit cnt</pre> |

The **subscript** in a variable's value indicates its **version**:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|------------|------------|
| done | $c_u: 2_4$ | $cnt: 1_4$ |

The counter example: a retry run

$\langle \text{name: cnt, version: } X, \text{value: } y \rangle$

| thread t | thread u |
|--|--|
| <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> | <pre>int c; do { // check out cnt c = pull(cnt); c = c + 1; } while (!push(cnt, c)); // commit cnt</pre> |

The subscript in a variable's value indicates its version:

| t'S LOCAL | u'S LOCAL | STM |
|-----------|-----------|---------------------|
| done | success | cnt: 2 ₅ |

The counter example: a retry run

`<name: cnt, version: x, value: y>`

thread `t`

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c));  
  // commit cnt
```

thread `u`

```
int c;  
do {  
  // check out cnt  
  c = pull(cnt);  
  c = c + 1;  
} while (!push(cnt, c));  
  // commit cnt
```

The subscript in a variable's value indicates its version:

| <code>t</code> 'S LOCAL | <code>u</code> 'S LOCAL | STM |
|-------------------------|-------------------------|---------------------|
| done | done | cnt: 2 ₅ |

STM in Erlang

An STM is a **server** that provides the following main operations:

- `pull(Name)`: check out a copy of variable with name `Name`
- `push(Vars)`: commit all variables in `Vars`; return `fail` if unsuccessful

Clients read and write **local copies** of variables using:

- `read(Var)`: get value of variable `Var`
- `write(Var, Value)`: set value of variable `Var` to `Value`

We base the STM implementation on the `gserver` generic server implementation we presented in a previous class.

STM: operations

```
create(Tm, Name, Value) ->
  gserver:request(Tm, {create, Name, Value}).
drop(Tm, Name) ->
  gserver:request(Tm, {drop, Name}).
pull(Tm, Name) ->
  gserver:request(Tm, {pull, Name}).
push(Tm, Vars) when is_list(Vars) ->
  gserver:request(Tm, {push, Vars});
read(#var{value = Value}) ->
  Value.
write(Var = #var{}, Value) ->
  Var#var{value = Value}.
```

STM: server handlers

The storage is a **dictionary** associating variable names to variables; it is the essential part of the server state.

```
stm(Storage, {pull, Name}) ->
  case dict:is_key(Name, Storage) of
    true ->
      {reply, Storage,
       dict:fetch(Name, Storage)};
    false ->
      {reply, Storage, not_found}
  end;

stm(Storage, {push, Vars}) ->
  case try_push(Vars, Storage) of
    {success, NewStorage} ->
      {reply, NewStorage, success};
    fail ->
      {reply, Storage, fail}
  end.
```

STM: try to push

The helper function `try_push` determines if any variable to be committed has a different version from the corresponding one in the STM.

```
try_push([], Storage) ->
  {success, Storage};
try_push([Var = #var{name = Name, version = Version} | Vars],
         Storage) ->
  case dict:find(Name, Storage) of
  {ok, #var{version = Version}} ->
    try_push(Vars,
             dict:store(Name,
                        Var#var{version = Version + 1},
                        Storage));
  _ -> fail
  end.
```

Using the Erlang STM

Using the STM to create atomic functions is quite straightforward. For example, here are **pop** and **push** atomic operations for a list:

```
% pop head element from 'Name'
qpop(Tm, Name) ->
  Queue = pull(Tm, Name),
  [H|T] = read(Queue),
  NewQueue = write(Queue, T),
case push(Tm, NewQueue) of
  % push failed: retry!
  fail -> qpop(Tm, Name);
  % push successful: return head
  _ -> H
end.
```

```
% push 'Value' to back of 'Name'
qpush(Tm, Name, Value) ->
  Queue = pull(Tm, Name),
  Vals = read(Queue),
  NewQueue = write(Queue,
                    Vals ++ [Value]),
case push(Tm, NewQueue) of
  % push failed: retry!
  fail -> qpush(Tm, Name, Value);
  % push successful: return ok
  _ -> ok
end.
```

Composable transactions?

The simple implementation of STM we have outlined does not support easily **composing** transactions:

```
% pop from Queue1 and push to Queue2  
qtransfer(Tm, Queue1, Queue2) ->  
    Value = qpop(Tm, Queue1), % another process may interfere!  
    qpush(Tm, Queue2, Value).
```

To implement composability, we need to keep track of **pending transactions** and defer commits until all nested transactions have completed.

See the course's website for an example implementation:

```
% atomically execute Function on arguments Args  
atomic(Tm, Function, Args) -> todo.
```

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